

**CDBG-R
SUBSTANTIAL
AMENDMENT
TO THE
2008
ANNUAL ACTION PLAN**

LAKE COUNTY, ILLINOIS

JUNE 5, 2009

Application for Federal Assistance SF-424

Version 02

*1. Type of Submission:

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

*2. Type of Application

- ☒ New
☐ Continuation
☐ Revision

* If Revision, select appropriate letter(s)

*Other (Specify) _____

3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

*5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*a. Legal Name: Lake County

*b. Employer/Taxpayer Identification Number (EIN/TIN):
36-6006600

*c. Organizational DUNS:
074591652

d. Address:

*Street 1: 18 North County Street - 6th Floor
Street 2: _____
*City: Waukegan
County: Lake County
*State: Illinois
Province: _____
*Country: United States of America
Zip / Postal Code 60085

e. Organizational Unit:

Department Name:
Department of Planning, Building, and Development

Division Name:
Community Development Division

f. Name and contact information of person to be contacted on matters involving this application:

*Prefix: Mr. *First Name: Vern
Middle Name: A.
Last Name: Witkowski
Suffix: _____

Title: Deputy Director, CD Division

Organizational Affiliation:

County

*Telephone Number: 847-377-2132

Fax Number: 847-360-6734

*Email: vwitkowski@lakecountyil.gov

Application for Federal Assistance SF-424

Version 02

***9. Type of Applicant 1: Select Applicant Type:**

B.County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*Other (Specify)

***10 Name of Federal Agency:**

United States Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.253

CFDA Title:

Community Development Block Grant (CDBG) Entitlement / Recovery Act Funded (CDBG-R)

***12 Funding Opportunity Number:**

FR-5309-N-01

Title:

Community Development Block Grant Program funding under the American Recovery and Reinvestment Act of 2009

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Lake County, IL

15. Descriptive Title of Applicant's Project:

Lake County's Community Development Block Grant - Recovery (CDBG-R) Program: Substantial Amendment to the PY2008 Annual Action Plan

Application for Federal Assistance SF-424

Version 02

16. Congressional Districts Of:

a. Applicant: IL - 008 and 010

*b. Program/Project: IL - 008 and 010

17. Proposed Project:

a. Start Date:

*b. End Date:

18. Estimated Funding (\$):

a. Federal	\$691,361
b. Applicant	
c. State	\$5,817,287
d. Local	\$1,594,534
e. Other	
f. Program Income	(Local/Other CDBG)
g. TOTAL	\$8,103,182

19. Is Application Subject to Review By State Under Executive Order 12372 Process?

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on _____
- ☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☒ c. Program is not covered by E. O. 12372

20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)

☐ Yes ☒ No

1. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)

☒ ** I AGREE

The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

Authorized Representative:

Prefix: Ms. *First Name: Suzi

Middle Name: M.

Last Name: Schmidt

Suffix:

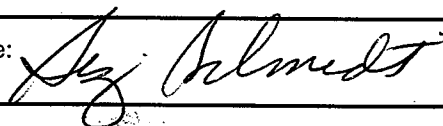
Title: County Board Chair

Telephone Number: 847-377-2300

Fax Number: 847-360-7322

Email: sschmidt@lakecountyil.gov

Signature of Authorized Representative:



*Date Signed: June 4, 2009

CDBG-R SUBMISSION TEMPLATE **& CHECKLIST**

The American Recovery and Reinvestment Act of 2009 ("Recovery Act") was signed into law by President Obama on February 17, 2009. The Recovery Act awards \$1 billion in CDBG Recovery (CDBG-R) funds to be distributed to cities, counties, insular areas and states, of which \$10 million has been reserved by HUD for its administrative costs and \$10 million of which will be awarded to Indian tribes. Recipients of the remaining \$980 million of CDBG-R funds will be the approximately 1,200 jurisdictions that received CDBG funding in Fiscal Year 2008. This template sets forth the suggested format for grantees receiving funds from CDBG-R. A complete submission contains the information requested below, including:

- (1) The CDBG-R Substantial Amendment (template attached below)
- (2) Spreadsheet for Reporting Proposed CDBG-R Activities (see <http://www.hud.gov/recovery>)
- (3) Signed and Dated Certifications (see <http://www.hud.gov/recovery>)
- (4) Signed and Dated SF-424.

Grantees should also attach a completed CDBG-R Substantial Amendment Checklist to ensure completeness and efficiency of review (attached below).

THE CDBG-R SUBSTANTIAL AMENDMENT

Jurisdiction(s): <u>Lake County, IL</u>	CDBG-R Contact Person: Vern Witkowski
Jurisdiction Web Address: http://www.lakecountyil.gov/Planning/CommunityDevelopment/Default.htm	Address: 18 N. County St – 6 th Floor Waukegan, IL 60085
	Telephone: 847-377-2132
	Fax: 847-360-6734
	Email: vwitkowski@lakecountyil.gov

ENSURING RESPONSIBLE SPENDING OF RECOVERY ACT FUNDS

Funding available under the Recovery Act has clear purposes – to stimulate the economy through measures that modernize the Nation’s infrastructure, improve energy efficiency, and expand educational opportunities and access to health care. HUD strongly urges grantees to use CDBG-R funds for hard development costs associated with infrastructure activities that provide basic services to residents or activities that promote energy efficiency and conservation through rehabilitation or retrofitting of existing buildings. While the full range of CDBG activities is available to grantees, the Department strongly suggests that grantees incorporate consideration of the public perception of the intent of the Recovery Act in identifying and selecting projects for CDBG-R funding.

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

Grantees must provide information concerning CDBG-R assisted activities in an electronic spreadsheet provided by HUD. The information that must be reported in the spreadsheet includes activity name, activity description, CDBG-R dollar amount budgeted, eligibility category, national objective citation, additional Recovery Act funds for the activity received from other programs, and total activity budget. An electronic copy of the spreadsheet and the format is available on HUD’s recovery website at <http://www.hud.gov/recovery>.

B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)

- (1) Activity Name: (Grantees should follow the same order that activities are listed in the Spreadsheet for Reporting Proposed CDBG-R Activities – this will allow HUD to easily match activity narratives with the information provided in the spreadsheet.)

Response:

Lake County previously submitted its 2009 Annual Action Plan to HUD on March 20, 2009. Lake County's CDBG Program Year began May 1st. Lake County was then allocated an additional \$691,361 in CDBG-R funds. Lake County will use 90% of its available CDBG-R funds (\$622,225) for Public Infrastructure Improvements after deducting the allowed 10% for program administration (\$69,136). Therefore, 100% of the CDBG-R grant amount will benefit persons of low and moderate income.

HUD recognizes that the additional increment of CDBG-R funding may not be enough for some grantees to fully fund a new activity (CDBG-R Notice, page 22). Lake County would instead issue new contracts to use CDBG-R funds to fund an additional increment of work beyond that which is already under contract or otherwise underway (CDBG-R Notice, page 22). Lake County previously pared back its 2009 Public Infrastructure Improvement funding for various activities (compared to the subrecipient's original funding request) such that Lake County will then execute subrecipient agreements to provide CDBG-R funds that will restore the activities to near "full funding" levels (CDBG-R Notice, page 22).

Lake County's CDBG-R activities will be as follows:

Activity #1 – Roadway Improvements (Consolidated Plan Goal 3.1.1)
\$316,747

Activity #2 – Sanitary Sewer System Improvements (Consolidated Plan Goal 3.1.2)
\$114,939

Activity #3 – Water Supply System Improvements (Consolidated Plan Goal 3.1.3)
\$163,160

Activity #4 – Drainage System Improvements (Consolidated Plan Goal 3.1.4)
\$27,379

Public Infrastructure Total = \$622,225 (90%)

CDBG-R Administration Total = \$69,136 (10%)

CDBG-R GRAND TOTAL = \$691,361

CDBG-R funds will provide near "full funding" for 17 original 2009 recommended CDBG projects plus 1 additional 2008 CDBG-R recommended project. The use of CDBG and CDBG-R funds will provide between 82% and 100% of the original project requested CDBG funding for the 17 original projects and 50% of the original project requested CDBG funding for 1 additional project.

CDBG and CDBG-R Funding as a Percent of the Original Request for CDBG funds

Antioch	97%
Antioch Township	94%
Beach Park	97%
Fox Lake	97%
Grant Township	95%
Grayslake	95%
Highwood	97%
Island Lake	82%
Lake County Public Works	82%
Lindenhurst	100%
Mundelein	82%
Park City	97%
Round Lake	97%
Round Lake Beach	97%
Round Lake Heights	97%
Round Lake Park	97%
Wauconda Township	50%
Zion	97%

(2) Activity Narrative:

In addition to the Spreadsheet for Reporting Proposed CDBG-R Activities, grantees must provide a narrative for each activity describing how the use of the grantee's CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of ARRA. The grantee's narrative must also state how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:

- Preserving and creating jobs and promoting economic recovery;
- Assisting those most impacted by the recession;
- Providing investment needed to increase economic efficiency;
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits;
- Minimizing or avoiding reductions in essential services; or
- Fostering energy independence.

Response:

All of the activities funded with Lake County's CDBG-R allocation clearly meet the requirements of ARRA. The emphasis on "shovel-ready" infrastructure projects with "preference to quick-start and finish activities" goes directly to the heart of the Recovery Act. Each CDBG-R funded project will provide numerous and immediate economic benefits to various communities through job creation / retention of permanent and temporary construction related jobs while investing in transportation and public infrastructure improvements that provide benefits to low- and moderate-income residents of income eligible Census Tract Block Groups.

CDBG-R funded activities will meet three of the six components of the Recovery Act. First, all of these activities will go to "Preserving and creating jobs and promoting economic recovery." Each of the activities will preserve a number of jobs in the construction and engineering fields, as the projects that will take place may not have otherwise occurred, were it not for this funding.

Second, all of the CDBG-R funded activities will meet the goal of "Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits." Every project within Lake County's CDBG-R funded activities will go to improve low- and moderate-income communities' infrastructure.

Finally, each of the CDBG-R funded activities represents an essential municipal service, and assists in "Minimizing or avoiding reductions in essential services." Providing these transportation and public infrastructure improvements ensures that residents of these areas will not suffer from deficient or deteriorated roads, sewers, water, or drainage systems.

- (3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

Response:

It is estimated that 90 temporary full and part-time construction jobs will be created, retained, and/or extended through the use of additional CDBG-R funding for transportation and public infrastructure projects. The exact number of full and part-time jobs will depend on the nature of the CDBG-R projects. All construction work will be awarded to outside companies through a competitive bidding process. CDBG-R funding will enable awarded firms to retain their current workforce. However, CDBG-R employment will be of a short-term nature ending upon completion of the CDBG-R funded construction activities.

- (4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

Response:

N/A

- (5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

Response:

Lake County Planning, Building & Development Department
Community Development Division
18 N. County Street – 6th Floor
Waukegan, IL 60085

Vern Witkowski, Deputy Director
Phone: 847-377-2132
Fax: 847-360-6734
Email: vwitkowski@lakecountyil.gov

C. PUBLIC COMMENT

Provide a summary of public comments received to the proposed CDBG-R Substantial Amendment.

Note: A Proposed CDBG-R Substantial Amendment must be published via the usual methods and posted on the jurisdiction's website for no less than 7 calendar days for public comment.

Response:

Lake County published a Citizen Participation Notice in the Waukegan News Sun, our local paper of general circulation, on May 16, 2009. Lake County also mailed the Citizen Participation Notice to over 400 interested parties on May 19, 2009. Copies of the draft CDBG-R Amendment were available for public review and comment on May 22, 2009 at the following locations:

- Lake County Department of Planning, Building and Development – Community Development Division
- Posted on Lake County's website (<http://www.lakecountyil.gov/Planning/CommunityDevelopment/Default.htm>)
- City of North Chicago – Community Development Office
- City of Waukegan – Community Development Block Grant Office
- North Chicago Public Library
- Waukegan Public Library
- Highland Park Public Library
- Round Lake Area Public Library
- Wauconda Public Library

The CDBG-R Amendment was also presented to the Community Development Commission during its Consolidated Plan Public Hearing on May 27, 2009. Written comments on the proposed CDBG-R Amendment were solicited through 5:00pm May 28, 2009.

All of these actions are consistent with the County's "usual methods" as identified in our Citizen Participation Plan that was adopted by the Community Development Commission on April 22, 2009.

The following public comments were received:

- Other than a request for library locations pursuant to a phone call, no verbal or written comments were received.

CDBG-R Substantial Amendment Grantee Checklist

For the purposes of expediting review, HUD asks that applicants submit the following checklist along with the CDBG-R Substantial Amendment, Spreadsheet for Reporting Proposed CDBG-R Activities, and SF-424.

Contents of a CDBG-R Action Plan Substantial Amendment

Jurisdiction(s): Lake County, IL Lead Agency: Community Development Jurisdiction Web Address: http://www.lakecountyil.gov/Planning/CommunityDevelopment/Default.htm	CDBG-R Contact Person: Vern Witkowski Address: 18 N. County Street – 6th Floor Waukegan, IL 60085 Telephone: 847-377-2132 Fax: 847-360-6734 Email: vwitkowski@lakecountyil.gov
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The elements in the substantial amendment required for the CDBG recovery funds are:

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

Does the submission contain a paper copy of the Spreadsheet for Reporting Proposed CDBG-R Activities?

Yes ☒ No ☐ Verification found on page **Narrative 1**

Does the submission include an electronic version of the Spreadsheet for Reporting Proposed CDBG-R Activities sent to the email box CDBG-R@hud.gov?

Yes ☒ No ☐ Date Spreadsheet was emailed: **June 5, 2009**

Does the Spreadsheet for Reporting Proposed CDBG-R Activities include, for each activity:

- amount of funds budgeted for each activity, including CDBG-R funds, any additional Recovery Funds used and total activity budget,
Yes ☒ No ☐ Verification found on page **Narrative 1**
- the Eligibility citation (eligibility regulatory cite or HCDA cite),
Yes ☒ No ☐ Verification found on page **Narrative 1**
- the CDBG national objective citation,
Yes ☒ No ☐ Verification found on page **Narrative 1**

B. CDBG-R INFORMATION BY ACTIVITY

Does the submission contain information by activity describing how the grantee will use the funds, including:

- a narrative for each activity describing how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit,
Yes ☒ No ☐ Verification found on page Submission Template 5
- projected number of jobs created for each activity,
Yes ☒ No ☐ Verification found on page Submission Template 5
- whether an activity will promote energy efficiency and conservation,
Yes ☐ No ☒ Verification found on page Not applicable
- the name, location, and contact information for the entity that will carry out the activity,
Yes ☒ No ☐ Verification found on page Submission Template 5
- evidence that no more than 10% of the grant amount will be spent on administration and planning,
Yes ☒ No ☐ Verification found on page Submission Template 3
- evidence that no more than 15% of the grant amount will be spent on public services,
Yes ☐ No ☒ Verification found on page Not applicable
- evidence that at least 70% of the grant amount will benefit persons of low and moderate income,
Yes ☒ No ☐ Verification found on page Submission Template 3

C. PUBLIC COMMENT PERIOD

Was the proposed action plan amendment published via the jurisdiction's usual methods and on the Internet for no less than 7 calendar days of public comment?

Yes ☒ No ☐ Verification found on page Submission Template 6

Is there a summary of citizen comments included in the final amendment?

Yes ☒ No ☐ Verification found on page Submission Template 6

D. CERTIFICATIONS

The following certifications are complete and accurate:

- | | | |
|---|---|-----------------------------|
| (1) Affirmatively furthering fair housing | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (2) Anti-displacement and relocation plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (3) Drug-free Workplace | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (4) Anti-lobbying | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (5) Authority of jurisdiction | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (6) Consistency with plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (7) Section 3 | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (8) Community development plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

Lake County CDBG-R Substantial Amendment

- | | | |
|--|---|--|
| (9) Following a plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (10) Use of Funds | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (11) Excessive Force | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (12) Compliance with anti-discrimination laws | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (13) Lead-based paint procedures | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (14) Compliance with laws | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (15) Compliance with ARRA | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (16) Project selection | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (17) Timeliness of infrastructure investments | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (18) Buy American provision | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (19) Appropriate use of funds for infrastructure investments | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (20) 70% of CDBG-R for LMI | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| Optional Certification | | |
| (21) Urgent Need | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

D. STATE CERTIFICATIONS

The following certifications are complete and accurate:

- | | | |
|--|------------------------------|-----------------------------|
| (1) Affirmatively furthering fair housing | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Anti-displacement and relocation plan | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Drug-free Workplace | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (4) Anti-lobbying | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (5) Authority of State | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (6) Consistency with plan | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (7) Section 3 | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (8) Community development plan | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (9) Consultation with Local Governments | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (10) Use of Funds | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (11) Excessive Force | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (12) Compliance with anti-discrimination laws | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (13) Compliance with laws | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (14) Compliance with ARRA | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (15) Project selection | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (16) Timeliness of infrastructure investments | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (17) Buy American provision | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (18) Appropriate use of funds for infrastructure investments | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (19) 70% of CDBG-R for LMI | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| Optional Certification | | |
| (20) Urgent Need | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

Jurisdiction/Grantee Name: Lake County, Illinois		CDBG-R Formula Grant Amount: \$691,361					Date: 6/4/2009
Activity Name	Activity Description	Eligibility (Regulatory or HCDA Citation)	National Objective Citation	CDBG-R Project Budget (\$)	Additional Recovery Funds (\$)	Other Leveraged Funding (\$)	Total Activity Budget
Roadway Improvements (Consolidated Plan Goal #3.1.1)	Coordinate with Lake County Departments, municipalities, and townships in order to effectively fund and construct eligible project proposals for roadway improvements in low/moderate-income Census Tract-Block Groups.	570.201(c)	LMA	\$316,747	\$0	\$3,015,319	\$3,332,066
Sanitary Sewer System Improvements (Consolidated Plan Goal #3.1.2)	Coordinate with Lake County Departments, municipalities, and townships in order to effectively fund and construct eligible project proposals for sanitary sewer improvements in low/moderate-income Census Tract-Block Groups.	570.201(c)	LMA	\$114,939	\$0	\$1,164,911	\$1,279,850
Water Supply System Improvements (Consolidated Plan Goal #3.1.3)	Coordinate with Lake County Departments, municipalities, and townships in order to effectively fund and construct eligible project proposals for water supply system improvements in low/moderate-income Census Tract-Block Groups.	570.201(c)	LMA	\$163,160	\$0	\$2,944,920	\$3,108,080
Drainage System Improvements (Consolidated Plan Goal #3.1.4)	Coordinate with Lake County Departments, municipalities, and townships in order to effectively initiate and fund eligible project proposals for drainage system improvements in low/moderate-income Census Tract-Block Groups.	570.201(c)	LMA	\$27,379	\$0	\$286,671	\$314,050
Administration (Consolidated Plan Goal #3.5.1)	Ensure effective investments of grant resources through improved grant monitoring and technical assistance.	570.206	N/A	\$69,136	\$0	\$0	\$69,136
							0
	TOTAL:			\$691,361	\$0	\$7,411,821	\$8,103,182

2009 Lake County CDBG and 2008 CDBG-R Program Funding Recommendations

Administration, Tech. Assist. & Planning Projects	
Lake County - Gen. Admin., Tech. Asst., & n. – CDBG Funds	\$452,465
Lake County - Gen. Admin., Tech. Asst., & n. – CDBG-R Funds	\$69,136
TOTAL	\$521,601

2009 Lake County CDBG Entitlement Grant	\$2,562,760
2008 Lake County CDBG-R Grant	\$691,361
Program Income Funds	\$29,513
Programmed Funds	\$72,111
TOTAL 2009 CDBG and 2008 CDBG-R Funds	\$3,355,745

Subrecipient	Estimated Project Costs	CDBG / CDBG-R Recommended Amounts
Public Infrastructure Projects		
Antioch	\$418,621	\$145,312
Antioch Township	\$111,268	\$71,563
Beach Park	\$352,800	\$145,313
Fox Lake	\$200,000	\$145,312
Grant Township	\$287,738	\$95,312
Grayslake	\$205,200	\$97,913
Highwood	\$1,309,920	\$145,312
Island Lake	\$354,222	\$123,313
Lake County Public Works	\$1,750,558	\$123,221
Lindenhurst	\$108,850	\$54,000
Mundelein	\$401,850	\$123,313
Park City	\$225,515	\$145,312
Round Lake	\$650,500	\$145,313
Round Lake Beach	\$690,000	\$145,313
Round Lake Heights	\$238,192	\$145,312
Round Lake Park	\$188,000	\$145,313
Wauconda Township	\$187,500	\$75,000
Zion	\$353,312	\$145,312
TOTAL	\$8,034,046	\$2,216,759

NOTE: Lake County CDBG administration formula calculated as $\$2,562,760 \times 20\% = \$512,552 + \$5,902.60$ in allowable 20% CDBG administration from Lake County Program Income ($\$29,513 \times 20\%$) received from 12/1/07 to 11/30/08 = $\$518,454.60$ (the maximum allowable Lake County CDBG administration funds that can be used). The *Revised 2009 Annual Action Plan* identifies the use of CDBG Administration funds for Lake County (\$452,465); the Affordable Housing Corporation (\$50,367); and SER/Jobs for Progress – Fair Housing Center (\$15,622).

NOTE: Lake County CDBG-R administration formula calculated as $\$691,361 \times 10\% = \$69,136.10$ (the maximum allowable Lake County CDBG-R administration funds that can be used).

3.0 Lake County Community Development Activities

3.1 Public Infrastructure

3.1.1 Roadway Improvements

Antioch – Roads

- 2009 CDBG: \$112,000; **2008 CDBG-R: \$33,312**; Total Project Cost: \$418,621
- Installation of 7,444 LF of roadway surface on Tiffany Road, Hillandale Drive, Valley View Court, Asbury Court and Plumtree Lane.
- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be “based on bids within 120 days from the date the funds are made available” to subrecipient agencies (CDBG-R Notice, Page 40).
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium’s 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8608.06/BG1; 240 LMI 40%

Antioch Township – Roads & Drainage

- 2009 CDBG: \$50,000; **2008 CDBG-R: \$21,563**; Total Project Cost: \$111,268
- Installation of 1,810 LF of roadway surface and storm sewer and culverts on Park and Woodbine Avenues.
- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be “based on bids within 120 days from the date the funds are made available” to subrecipient agencies (CDBG-R Notice, Page 40).
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium’s 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8608.05/BG1; 97 LMI 38%

Fox Lake – Roads & Drainage

- 2009 CDBG: \$112,000; **2008 CDBG-R: \$33,312**; Total Project Cost: \$200,000
- Installation of 2,220 LF of new roadway surface on Marvin Street, McKinley Avenue, Pleasant Oak Court and South Street.
- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be “based on bids within 120 days from the date the funds are made available” to subrecipient agencies (CDBG-R Notice, Page 40).
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium’s 2005 - 2009 Housing and Community Development Consolidated Plan.

- CT8609.03/BG2; 151 LMI 57%

Grant Township - Roads & Drainage

- 2009 CDBG: \$75,000; **2008 CDBG-R: \$20,312**; Total Project Cost: \$287,738
- Reconstruction of approximately 2,765 SY of roadway surface on North Stanton Point Road and Matalina Court.
- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be "based on bids within 120 days from the date the funds are made available" to subrecipient agencies (CDBG-R Notice, Page 40).
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8609.03/BG4; 86 LMI 36%

Highwood – Roads & Drainage

- 2009 CDBG: \$112,000; **2008 CDBG-R: \$33,312**; Total Project Cost: \$1,309,920
- Installation of approximately 2,150 LF of roadway and 3,000 LF of storm sewer and appurtenances on Green Bay Road from 500' north of Ashland Avenue to Highwood Avenue.
- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be "based on bids within 120 days from the date the funds are made available" to subrecipient agencies (CDBG-R Notice, Page 40).
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8652.00/BG1&3; 159 LMI 48%

Park City - Roads

- 2009 CDBG: \$112,000; **2008 CDBG-R: \$33,312**; Total Project Cost: \$225,515
- Reconstruction of 829 LF of roadway including curb & gutter on Kehm Boulevard and Chestnut Avenue.
- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be "based on bids within 120 days from the date the funds are made available" to subrecipient agencies (CDBG-R Notice, Page 40).
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8615.04/BG1 & CT8626.05/BG3; 508 LMI 71%

Round Lake Heights – Roads

- 2009 CDBG: \$112,000; **2008 CDBG-R: \$33,312**; Total Project Cost: \$238,192
- Reconstruction of 5,650 LF of roadway on Lotus Drive, Tomahawk Trail and Hiawatha Trail.
- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be “based on bids within 120 days from the date the funds are made available” to subrecipient agencies (CDBG-R Notice, Page 40).
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium’s 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8613.01/BG1; 267 LMI 44%

Wauconda Township – Roads

- 2009 CDBG: \$0; **2008 CDBG-R: \$75,000**; Total Project Cost: \$187,500
- Rehabilitate and upgrade 3,800 LF of residential streets within the Williams Park Subdivision consisting of portions of Ellen, Galilee, Hickory, Huston, Main and Morey Streets.
- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be “based on bids within 120 days from the date the funds are made available” to subrecipient agencies (CDBG-R Notice, Page 40).
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium’s 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8642.01/BG4; 108 LMI 74% (income survey)

Zion - Roads

- 2009 CDBG: \$112,000; **2008 CDBG-R: \$33,312**; Total Project Cost: \$353,312
- Rehabilitate and resurface approximately 4,188 LF of roadway on Ezekiel, Ezra, Gabriel and Gilboa Avenues.
- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be “based on bids within 120 days from the date the funds are made available” to subrecipient agencies (CDBG-R Notice, Page 40).
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium’s 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8603.02&8605.00/BG2&3,4; 539 LMI 59%

Subrecipient/Project Sponsor	Total Project Cost	CDBG	CDBG-R
Antioch	\$418,621	\$112,000	\$33,312
Antioch Township	\$111,268	\$50,000	\$21,563
Fox Lake	\$200,000	\$112,000	\$33,312

Grant Township	\$287,738	\$75,000	\$20,312
Highwood	\$1,309,920	\$112,000	\$33,312
Park City	\$225,515	\$112,000	\$33,312
Round Lake Heights	\$238,192	\$112,000	\$33,312
Wauconda Township	\$187,500	\$0	\$75,000
Zion	\$353,312	\$112,000	\$33,312
TOTAL	\$3,332,066	\$797,000	\$316,747

3.1.2 Sanitary Sewer System Improvements

Mundelein - Sanitary Sewer

- 2009 CDBG: \$75,000; **2008 CDBG-R: \$48,313**; Total Project Cost: \$401,850
- Installation of 1,550 LF of sanitary sewer, 200 LF of storm sewer, 3,000 LF of curb & gutter and 1,500 LF of roadway reconstruction on South Lakeshore Drive.
- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be "based on bids within 120 days from the date the funds are made available" to subrecipient agencies (CDBG-R Notice, Page 40).
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8640.02/BG3; 245 LMI 50%

Round Lake Beach - Sanitary Sewer

- 2009 CDBG: \$112,000; **2008 CDBG-R: \$33,313**; Total Project Cost: \$690,000
- Reconstruction of the Kenilworth Drive sewage lift station; to include a duplex submersible pump, a standby generator and 500 LF of forcemain.
- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be "based on bids within 120 days from the date the funds are made available" to subrecipient agencies (CDBG-R Notice, Page 40).
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8612.01/BG1; 615 LMI 41%

Round Lake Park - Sanitary Sewer

- 2009 CDBG: \$112,000; **2008 CDBG-R: \$33,313**; Total Project Cost: \$188,000
- Rehabilitation of 4,399 LF of sanitary sewer line on Elder and Willow Drives.
- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be "based on bids within 120 days from the date the funds are made available" to subrecipient agencies (CDBG-R Notice, Page 40).

- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8614.04/BG2&3; 400 LMI 54%

Subrecipient/Project Sponsor	Total Project Cost	CDBG	CDBG-R
Mundelein	\$401,850	\$75,000	\$48,313
Round Lake Beach	\$690,000	\$112,000	\$33,313
Round Lake Park	\$188,000	\$112,000	\$33,313
TOTAL	\$1,279,850	\$299,000	\$114,939

3.1.3 Water Supply System Improvements

Beach Park – Watermain

- 2009 CDBG: \$112,000; **2008 CDBG-R: \$33,313**; Total Project Cost: \$352,800
- Installation of 1,345 LF of 8 inch PVC watermain and 6 fire hydrants along Sheridan Road between Ames Avenue and Beach Road.
- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be "based on bids within 120 days from the date the funds are made available" to subrecipient agencies (CDBG-R Notice, Page 40).
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8606.00/BG2 316 LMI 39%

Island Lake – Watermain

- 2009 CDBG: \$75,000; **2008 CDBG-R: \$48,313**; Total Project Cost: \$354,222
- Installation of 2,090 LF of 8 inch and 6 inch watermain and six hydrants on Fern Drive, Northern Court and Ridge Road.
- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be "based on bids within 120 days from the date the funds are made available" to subrecipient agencies (CDBG-R Notice, Page 40).
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8642.02/BG2; 62 LMI 46%

Lake County Public Works – Watermain

- 2009 CDBG: \$75,000; **2008 CDBG-R: \$48,221**; Total Project Cost: \$1,750,558
- Installation of 1,150 LF of 8 inch watermain on Elm Street, and 1,500 LF of watermain on Mill Road in Wildwood.

- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be “based on bids within 120 days from the date the funds are made available” to subrecipient agencies (CDBG-R Notice, Page 40).
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium’s 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8616.07/BG1; 93 LMI 47%

Round Lake – Watermain & Roads

- 2009 CDBG: \$112,000; **2008 CDBG-R: \$33,313**; Total Project Cost: \$650,500
- Installation of 850 LF of roadway surface with curb and gutter and drainage improvements and 850 LF of 8 inch watermain on Lawn Terrace.
- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be “based on bids within 120 days from the date the funds are made available” to subrecipient agencies (CDBG-R Notice, Page 40).
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium’s 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8614.03/BG2; 154 LMI 39%

Subrecipient/Project Sponsor	Total Project Cost	CDBG	CDBG-R
Beach Park	\$352,800	\$112,000	\$33,313
Island Lake	\$354,222	\$75,000	\$48,313
Lake County Public Works	\$1,750,558	\$75,000	\$48,221
Round Lake	\$650,500	\$112,000	\$33,313
TOTAL	\$3,108,080	\$374,000	\$163,160

3.1.4 Drainage System Improvements

Grayslake - Drainage

- 2009 CDBG: \$75,000; **2008 CDBG-R: \$22,913**; Total Project Cost: \$205,200
- Construction of 850 LF of 12 inch storm sewer on Park Avenue and Westerfield Place and on Harvey Avenue.
- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be “based on bids within 120 days from the date the funds are made available” to subrecipient agencies (CDBG-R Notice, Page 40).
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium’s 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8611.05/BG1; 156 LMI 37%

Lindenhurst - Drainage

- 2009 CDBG: \$49,534; **2008 CDBG-R: \$4,466**; Total Project Cost: \$108,850
- Relining of 835 LF of storm sewer in the rear yards along Hazelwood Avenue.
- CDBG-R funded activities will involve the installation of separately contracted proportionately charged project related construction activities that will be "based on bids within 120 days from the date the funds are made available" to subrecipient agencies (CDBG-R Notice, Page 40).
- This project funds a **High Priority** need for Lake County as referenced in the Lake County Consortium's 2005 - 2009 Housing and Community Development Consolidated Plan.
- CT8610.08/BG1; 149 LMI 39%

Subrecipient/Project Sponsor	Total Project Cost	CDBG	CDBG-R
Grayslake	\$205,200	\$75,000	\$22,913
Lindenhurst	\$108,850	\$49,534	\$4,466
TOTAL	\$314,050	\$124,534	\$27,379

3.5 Administration and Planning**3.5.1 Program Administration and Planning****Lake County Department of Planning, Building, and Development – CDBG and CDBG-R Program Administration**

- 2009 Lake County CDBG – Administration Funds: \$452,465
- **2008 CDBG-R Administration Funds: \$69,136**
- These funds will be used primarily for the general management, oversight and coordination of the original Community Development Block Grant (CDBG) Program activities and the Community Development Block Grant – Recovery (CDBG-R) Program activities.
- These funds will also be used for planning and administration costs in connection with the development and certification of the CDBG Annual Action Plan and the CDBG-R Substantial Amendment, the development of various performance report documents, and the development of continued application/administration procedures in addition to general project and program management activities.

APPENDIX A:

CDBG AND CDBG-R PERFORMANCE MEASUREMENTS FOR LAKE COUNTY

Roadway Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.1.1	Antioch – Roads	\$112,000 CDBG \$33,312 CDBG-R	Suitable Living Environment	Sustainability	7,444 LF of Roadway
3.1.1	Antioch Township – Roads & Drainage	\$50,000 CDBG \$21,563 CDBG-R	Suitable Living Environment	Sustainability	1,810 LF of Roadway
3.1.1	Fox Lake – Roads & Drainage	\$112,000 CDBG \$33,312 CDBG-R	Suitable Living Environment	Sustainability	2,220 LF of Roadway
3.1.1	Grant Township – Roads & Drainage	\$75,000 CDBG \$20,312 CDBG-R	Suitable Living Environment	Sustainability	2,765 SY of Roadway
3.1.1	Highwood – Roads & Drainage	\$112,000 CDBG \$33,312 CDBG-R	Suitable Living Environment	Sustainability	2,150 LF of Roadway
3.1.1	Park City – Roads	\$112,000 CDBG \$33,312 CDBG-R	Suitable Living Environment	Sustainability	829 LF of Roadway
3.1.1	Round Lake Heights – Roads	\$112,000 CDBG \$33,312 CDBG-R	Suitable Living Environment	Sustainability	5,650 LF of Roadway
3.1.1	Wauconda Township – Roads	\$0 CDBG \$75,000 CDBG-R	Suitable Living Environment	Sustainability	3,800 LF of Roadway
3.1.1	Zion – Roads	\$112,000 CDBG \$33,312 CDBG-R	Suitable Living Environment	Sustainability	4,188 LF of Roadway

Total	30,856 LF of Roadway
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Sanitary Sewer System Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.1.2	Mundelein – Sanitary Sewer	\$75,000 CDBG \$48,313 CDBG-R	Suitable Living Environment	Sustainability	1,550 LF of Sewer
3.1.2	Round Lake Beach – Sanitary Sewer	\$112,000 CDBG \$33,313 CDBG-R	Suitable Living Environment	Sustainability	1 Lift Station
3.1.2	Round Lake Park – Sanitary Sewer	\$112,000 CDBG \$33,313 CDBG-R	Suitable Living Environment	Sustainability	4,399 LF of Sewer
Total					5,949 LF of Sewer and 1 Lift Station

Water Supply System Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.1.3	Beach Park – Watermain	\$112,000 CDBG \$33,313 CDBG-R	Suitable Living Environment	Sustainability	1,345 LF of Watermain
3.1.3	Island Lake – Watermain	\$75,000 CDBG \$48,313 CDBG-R	Suitable Living Environment	Sustainability	2,090 LF of Watermain
3.1.3	Lake County Public Works – Watermain	\$75,000 CDBG \$48,221 CDBG-R	Suitable Living Environment	Sustainability	2,650 LF of Watermain
3.1.3	Round Lake. – Watermain & Roads	\$112,000 CDBG \$33,313 CDBG-R	Suitable Living Environment	Sustainability	850 LF of Watermain
Total					6,935 LF of Watermain

Drainage System Improvements

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.1.4	Grayslake – Drainage	\$75,000 CDBG \$22,913 CDBG-R	Suitable Living Environment	Sustainability	850 LF of Sewer
3.1.4	Lindenhurst – Drainage	\$49,534 CDBG \$4,466 CDBG-R	Suitable Living Environment	Sustainability	835 LF of Sewer
Total					1,685 LF of Sewer

Program Administration and Planning

Goal #	Project	Amount	Objective	Outcome	Proposed Indicator
3.5.1	Lake County Planning, Building, & Dev. – CDBG and CDBG-R Administration	\$452,465 CDBG \$69,136 CDBG-R	N/A	N/A	N/A
Total					N/A

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CERTIFICATIONS

(1) **Affirmatively further fair housing.** The jurisdiction will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard.

(2) **Anti-displacement and relocation plan.** The jurisdiction will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601), and implementing regulations at 49 CFR part 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under CDBG-R.

(3) **Drug Free Workplace.** The jurisdiction will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about –
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will –
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

(4) **Anti-lobbying.** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

(5) **Authority of Jurisdiction.** The jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and other program requirements.

(6) **Consistency with Plan.** The housing activities to be undertaken with CDBG-R funds are consistent with its consolidated plan.

(7) **Section 3.** The jurisdiction will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulations at 24 CFR part 135.

(8) **Community development plan.** The jurisdiction certifies that the consolidated housing and community development plan identifies housing and community development needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the statute authorizing the CDBG program.

(9) **Following a plan.** The jurisdiction is following a current consolidated plan that has been approved by HUD.

(10) **Use of funds.** The jurisdiction has developed activities so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention of slums or blight. Additional activities may be included that are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs. It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG-R funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. Special Assessments. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG-R funds are used to pay the proportion of a fee or assessment attributable to the capital costs of public improvements (assisted in part with CDBG-R funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds, unless CDBG-R funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. In addition, with respect to properties owned and occupied by moderate-income (but not low-income) families, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds if the jurisdiction certifies that it lacks CDBG-R or CDBG funds to cover the assessment.

(11) Excessive Force. The jurisdiction certifies that it has adopted and is enforcing: (1) a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and (2) a policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

(12) Compliance with anti-discrimination laws. The CDBG-R grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

(13) Compliance with lead-based paint procedures. The activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K, and R of this title.

(14) Compliance with laws. The jurisdiction will comply with applicable laws.

(15) Compliance with ARRA. The jurisdiction will comply with Title XII of Division A of the American Recovery and Reinvestment Act of 2009.

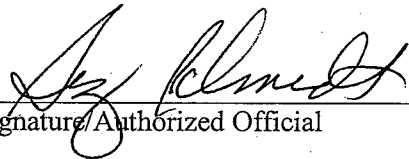
(16) Project selection. The jurisdiction will select projects to be funded, by giving priority to projects that can award contracts based on bids within 120 days from the date the funds are made available to the recipient, and that will ensure maximum job creation and economic benefit.

(17) Timeliness of infrastructure investments. When the jurisdiction uses CDBG-R funds for infrastructure investments, the grantee will give preference to quick-start and finish activities, including a goal to use at least 50 percent of the funds for activities within 120 days of enactment of the Recovery Act.

(18) **Buy American provision.** The jurisdiction will ensure that all iron, steel and manufactured goods used in construction, alteration, repair, or maintenance of a public building or public work project assisted with CDBG-R funds under the Recovery Act must be produced in the United States unless the Secretary finds that: (1) the requirement is inconsistent with public interest; (2) those goods are not reasonably available or produced in sufficient quantity in the U.S.; (3) or the use of the goods will increase the project cost by more than 25 percent.

(19) **Appropriate use of funds for infrastructure investments.** The Governor, mayor, or other chief executive, as appropriate certifies, that any infrastructure investments have received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Alternatively, a grantee's chief elected official certifies that infrastructure investments will receive the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars.

(20) **70% of CDBG-R for LMI.** The aggregate use of CDBG-R funds shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the grant is expended for activities that benefit such persons over the life of the CDBG-R grant.



Signature/Authorized Official

June 4, 2009
Date

County Board Chair
Title